Attorney's Docket No.: 15518-002001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee : Minnesota Mining and

Art Unit : Unknown

Manufacturing Company

Examiner: Unknown

Patent No.: 5,056,892

Serial No.: 472,621

Filed : January 30, 1990

Title : TOTALLY INTERNALLY REFLECTING THIN, FLEXIBLE FILM

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits copies of the following documents listed on the attached Form 1449:

- (a) Agreement dated August 20, 1984 between Minnesota Mining and Manufacturing Company ("3M") and TIR Systems Limited ("the Agreement");
 - (b) Memo dated 10/4/84 from S. Cobb to J.F. Abere;
 - (c) Memo dated 10/26/84 from R. Appeldorn to R. Adalbert et al.;
 - (d) Memo dated 11/12/84 from John C. Barnes to R.H. Appeldorn.

The subject matter of the Agreement is a thin, flexible, prism light guide material. The three memos relate to the material and to the Agreement.

Applicant further submits copies of the following patents listed on the attached Form 1449:

2,175,067 Rolph

2,218,227 Winnek

2,232,551 Merton

2,248,638 Merton

2,279,555 Browne et al.

2,723,919 Pohnan

3,288,990 Stahlhut

3,689,346 Rowland

3,894,228 Cobb, Jr.

3,908,056 Anderson

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EV 342-625310 US

Date of Deposit

Patentee : Minnesota Mining and Manufacturing Company Attorney's Docket No.: 15518-002001

Patent No.: 5,056,892 Serial No.: 472,621

Filed: January 30, 1990

Page : 2 of 3

4,083,626 Miyahara et al.

4,118,763 Osteen

4,120,565 Rabl et al.

4,154,219 Gupta et al.

4,235,515 Sheiman et al.

4,244,683 Rowland

4,260,220 Whitehead

4,389,085 Mori

4,422,719 Orcutt

4,466,697 Daniel

4,497,860 Brady, Jr.

4,576,850 Martens

4,615,579 Whitehead

4,805,984 Cobb

0142250 Europe

27-1880 Japan

28-4669 Japan

58-102904 Japan

53-57068 Japan

1426230 United Kingdom

2127344 United Kingdom

Fumio Ide & Hiroshi Terada, "Kobunshi Shinsozai One Point-2, 'Optical Fiber & Optical Materials'" edited by Japan Polymer Society, published by Kyoritsu Shuppan K.K. on June 15, 1987, pp. 10-14.

"Polycarbonate Resin Handbook" edited by Seiichi Honma, published by Nikkkan Kogyo Shinbunsha on August 28, 1992, pp. 121-125.

Translations for the following references will be provided shortly:

48-7051 Japan

58-51144 Japan

49-51857 Japan

55-159304 Japan

56-77805 Japan

60-70603 Japan

Patentee : Minnesota Mining and Manufacturing Company Attorney's Docket No.: 15518-002001

Patent No.: 5,056,892 Serial No.: 472,621

Filed: January 30, 1990

Page : 3 of 3

This statement is being filed with the application. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Reg. No. 33,814

Date: 1/10/03

Fish & Richardson P.C., P.A. 60 South Sixth Street Suite 3300 Minneapolis, MN 55402

Telephone: (612) 335-5070 Facsimile: (612) 288-9696

60136818.doc

Substitute Form PTO-1449 (Modified)

Information

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 15518-002001

Application No.

Information Disclosur Statement by Applicant (Use several sheets if necessary) Applicant Cobb

Filing Date

Group Art Unit

(37 CFR §1.98(b))

| U.S. Patent Documents | | | | | | | |
|-----------------------|--------------|--------------------|---------------------|-----------------|-------|----------|---|
| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
| | AA | 2,175,067 | | Rolph | | | |
| | AB | 2,218,227 | | Winnek | | 1 | |
| | AC | 2,232,551 | | Merton | | | , |
| | AD | 2,248,638 | | Merton | | , | |
| | AE | 2,279,555 | | Browne et al. | | | , |
| | AF | 2,723,919 | | Pohnan | | | |
| | AG | 3,288,990 | | Stahlhut | | | |
| | AH | 3,689,346 | | Rowland | | | |
| | AI | 3,894,228 | | Cobb, Jr. | | | |
| | AJ | 3,908,056 | | Anderson | | | |
| | AK | 4,083,626 | | Miyahara et al. | | | |
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| | AO | 4,235,515 | | Sheiman et al. | | | |
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| | AQ | 4,260,220 | - , | Whitehead | | | |
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| | AS | 4,422,719 | | Orcutt | | | |
| | AT | 4,466,697 | | Daniel | | | |
| | AU | 4,497,860 | | Brady Jr. | | | |
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| Foreign Patent Documents or Published Foreign Patent Applications | | | | | | | |
|---|--------|----------|-------------|---------------|-------|----------|-------------|
| Examiner | Desig. | Document | Publication | Country or | | | Translation |
| Initial | . ID | Number | Date | Patent Office | Class | Subclass | Yes No |

| Examiner Signature | Date Considered |
|--|---|
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| EXAMINER: Initials citation considered. Draw line through citation if no | t in conformance and not considered. Include copy of this form with |
| next communication to applicant. | <u> </u> |

Substitute Disclosure Form (PTO-1449)

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Substitute Form PTO-1449
U.S. Department of Commerce (Modified)

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

(37 CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office
Patent and Trademark Office

Attorney's Docket No.
15518-002001

Applicant
Cobb

Filing Date

Group Art Unit

| | Foreig | n Patent Doc | uments or P | ublished Foreign | Patent A | Applicatio | ns | - |
|----------|--------|--------------|-------------|------------------|-------------|------------|-------|---------------|
| Examiner | Desig. | Document | Publication | Country or | J | | Trans | lation |
| Initial | ID_ | Number | Date | Patent Office | Class | Subclass | Yes | No |
| | AY | 0 142 250 | | Europe | | | 1 | |
| | AZ | 27-1880 | | Japan | | | X | |
| | AAA | 28-4669 | | Japan | | | X | |
| | ABB | 48-7051 | | Japan | | | | , X |
| | ACC | 49-51857 | | Japan | | | | X |
| | ADD | 53-57068 | | Japan | | | X | |
| | AEE | 55-159304 | | Japan | | | | X |
| | AFF | 56-77805 | , | Japan | | | | X |
| | AGG | 58-51144 | | Japan | | | | X |
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| | AJJ | 1,426,230 | | United Kingdom | | | | |
| | AKK | 2,127,344 | | United Kingdom | | | | |

| | Other D | ocuments (include Author, Title, Date, and Place of Publication) |
|---------------------|--------------|---|
| Examiner Initial | Desig. ID | Document |
| | ALL | Agreement dated August 20, 1984 between Minnesota Mining and Manufacturing Company ("3M") and TIR Systems Limited ("the Agreement"); |
| | AMM | Memo dated 10/4/84 from S. Cobb to J.F. Abere |
| | ANN | Memo dated 10/26/84 from R. Appeldorn to R. Adalbert et al. |
| | AOO | Memo dated 11/12/84 from John C. Barnes to R.H. Appeldorn |
| | APP | Fumio Ide & Hiroshi Terada, "Kobunshi Shinsozai One Point-2, 'Optical Fiber & Optical Materials" edited by Japan Polymer Society, published by Kyoritsu Shuppan K.K. on June 15, 1987, pp. 10-14. |
| | AQQ | "Polycarbonate Resin Handbook" edited by Seiichi Honma, published by Nikkkan Kogyo Shinbunsha on August 28, 1992, pp. 121-125. |

| Examiner Signature | Date Considered | | | | | |
|---|-----------------|--|--|--|--|--|
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| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with | | | | | | |
| next communication to applicant. | | | | | | |